

Form PTO-1449

Sheet 1 of 2

Applicant: Naoki Fujiwara et al.

Confirmation No.: 3941

Serial No.: 10/555,156

Att'y Docket No.: 14321.81

Filing Date: November 2, 2005

Art Unit: 2828

For: WAVELENGTH TUNABLE DISTRIBUTED BRAGG REFLECTOR (DBR) LASER
(AMENDED)INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>
/K.P./1	6,638,773 B1	10/28/2003	Hwang et al.

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>	<u>Translation</u>
/K.P./2	03-044084	02/25/1991	Japan	No

Other Documents

(including author, title, pertinent pages, etc.)

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Initial*

/K.P./ 3	<i>Semiconductor Photonics Engineering, Section 7.6.4 – Distributed Bragg Reflector (DBR) Laser</i> , published under general editorship of Tatsuhiko Ikegami by Corona Corporation, January 10, 1995, pp. 306-311 (with partial English translation).
/K.P./ 4	<i>Semiconductor Laser</i> , Chapter 13, [Compiled by Kenichi Iga (the Japan Society of Applied Physics)], published by Ohmsha, October 25, 1994, pp. 283-288 (with partial English translation).
/K.P./ 5	Hiroyuki Ishii (reported by), <i>Research on High Performance Process of Wavelength Tuning Semiconductor Laser</i> (doctoral thesis), March 1999, pp. 39-43, 54-59, and 65-68 (with partial English translation).
/K.P./ 6	Naoki Fujiwara et al., <i>Wavelength Selectable Mode-hop-free DBR Laser Array</i> , Technical Report of IEICE, OPE2003-33, 2003, pp. 47-50 (with partial English translation).

Examiner: /Kinam Park/

Date Considered: 08/15/2007

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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- /K.P./ 7 N. Fujiwara et al., *Mode-hop-free Wavelength-tunable Distributed Bragg Reflector Laser*, Electronics Letters, Vol. 39, No. 7, April 3, 2004, pp. 614-615.
- /K.P./ 8 Naoki Fujiwara et al., *Inherently Mode-Hop-Free Distributed Bragg Reflector (DBR) Laser Array*, IEEE Journal of Selected Topics in Quantum electronics, Vol. 9, No. 5, September/October 2003, pp. 1132-1137.

References Cited by Applicants

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Examiner: /Kinam Park/

Date Considered: 08/15/2007

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Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>	<u>Translation</u>
/K.P./ 1	2003-110194	04/11/2003	Japan	No

Other Documents

(including author, title, pertinent pages, etc.)

Examiner
Initial***References Cited by Applicants**

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